

INSTRUCTIONS FOR FORM 9420-O COMPLIANCE CERTIFICATION

PURPOSE

The application for a federally enforceable District-origin operating permit must contain a compliance certification signed by a responsible official. This form must be used for this compliance certification. It must be submitted with the original permit application as well as each annual report. The annual report will be due each year by April 15.

Note: Items which are self explanatory are not addressed.

Source Information: This information should be identical to the information stated in Form 9420-A.

- 1) **Source Name:** The company name, or specific plant name if different from the company name, must be provided.
- 2) **Source Street Address:** This must be the actual street address of the source. P.O. boxes are not acceptable.
- 5) **Permit No.:** This is the permit number assigned to the application for the permit for the source, by the District.
- 6) **Reporting Period:** If this form is being submitted as part of an annual report, the reporting period must be provided.

IDENTIFICATION OF EMISSION UNITS

All emission units at this source must be provided, as well as the compliance status, the applicable regulation, and methods of compliance.

- 7a) All emission units that are in compliance at all times with all applicable requirements must be provided in this space. An example would be:

Attachment No.	Equipment Description	Applicable Regulation	Compliance Method
324-92	Boiler	7.06	Stack test of particulate emission performed. Stack test for CO emissions performed.

- 7b) All emission units that are not in continuous compliance with all applicable requirements since the last reporting period must be provided in this space along with the requirement(s) that the unit is out of compliance with and the reason(s) for noncompliance. An example would be:

Attachment No.	Reason(s) for Noncompliance
324-92	Nitrogen content of oil was not recorded during the past year as required by 40 CFR 60.49b(e). This was a mistake by the operator of the unit.

COMPLIANCE INFORMATION

For each emission unit at the source, regardless of compliance status, the methods used to determine compliance must be provided.

- 8a) An example of a testing method used to demonstrate compliance would be:
Particulate Matter Stack Test Method U.S. EPA Method 5 – Incinerator Attachment # 325-92.

COMPLIANCE CERTIFICATION

(Continued)

- 8b) An example of a monitoring procedure used to demonstrate compliance would be:
Continuous Opacity Monitor - Attachment # 324-92, Boiler #4.
- 8c) An example of recordkeeping used to demonstrate compliance would be:
Nitrogen content of oil – Attachment # 324-92, Boiler #4.
- 8d) An example of reporting used to demonstrate compliance would be:
Annual report of all specified air contaminants, all emission units at source.

SIGNATURE BLOCK:

- 9) This form must be signed by a responsible official of the source and dated. In general, a responsible official is as follows:

For a corporation:

- Corporate officer
- Other person in charge of a principal business function
- Duly authorized representative responsible for overall operation of a source (plant manager) if either:
 - 250 persons employed or \$25 million in sales or expenditures
 - Delegation of authority approved in advance

For a partnership: A general partner.

For a sole proprietorship: The proprietor

For a government agency:

- Principal executive officer
- Ranking elected official

COMPLIANCE CERTIFICATION FORM 9420-O

COMPLIANCE CERTIFICATION
Louisville Metro Air Pollution Control District
850 Barret Avenue
Louisville, KY 40204-1745

An application for a District-origin permit must contain a certificate of compliance signed by a responsible official. This form must be submitted with the original permit application as well as each annual report.

SOURCE INFORMATION	
1) Source Name:	
2) Source Street Address:	
3) City:	4) Date Form Prepared:
5) FEDOOP Permit No. (If known):	5a) Plant ID:
6) Is this the first submittal of this form? <input type="checkbox"/> Yes <input type="checkbox"/> No	
If no, what is the reporting period? _____ / _____ / _____ to _____ / _____ / _____	

IDENTIFICATION OF EMISSION UNITS			
7a) The following emission units are in compliance with applicable requirements such as emission standards, emission control requirements, emission testing, court requirements, work practices, or enhanced monitoring, based on the compliance methods specified below: (if additional space is needed, attach and label as exhibit _____).			
Attachment No.	Equipment Description	Applicable Regulation	Compliance Method
b) List the emission units that were not in compliance since the last reporting period, along with the reason(s) for noncompliance: (if additional space is needed, attach and label as exhibit _____).			
Attachment No.	Reason(s) for Noncompliance		

